

## New Account Policy

### IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What this means for you: When you open an account, we will ask for your name, address, date of birth, an identification number, such as a Taxpayer ID number and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents.

**Brean Murray, Carret & Co. LLC does not ask you to verify or update personal information by replying to an email request or by going to a web site through a link included within an email. We will on occasion verify your account information in compliance with regulatory requirements. Contact your representative if you have any concerns about requests for information that you may receive from Brean Murray, Carret & Co. LLC**